

**REPORT DATE: 09-APR-2021**

The X-Compliance Report is a transparency initiative of The Nigerian Stock Exchange (The Exchange), which is designed to maintain market integrity and protect investors by providing compliance related information on all listed companies.

Companies that are listed on The Exchange are required to adhere to high disclosure standards which are prescribed in the Rulebook of The Exchange, 2015 (Issuers’ Rules), and other Rules of The Exchange, from time to time. Financial information, which is periodic disclosure, as well as on-going material information disclosure should be released to The Exchange in a timely manner to enable it efficiently perform its function of maintaining an orderly market. The X-Compliance Report is updated every Friday at the close of market.

## **THE X-COMPLIANCE REPORT PROVIDES THE FOLLOWING INFORMATION**

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## **PART A: COMPLIANCE REPORT**

## SCHEDULE 1: RELEASED FINANCIALS

This Schedule contains the list of listed companies that have filed/not filed their financial statements via the Issuers' Portal of The Exchange. Details of the released financials can be found on the website of The Exchange via this [link](#).

## SCHEDULE 2: EARLY FILERS OF QUARTERLY & AUDITED FINANCIAL STATEMENTS

Early filers are companies that file their interim financial statements at least two weeks before the due date, and Audited financial statements at least four weeks before the due date.

Companies listed on The Exchange are required to file their financial statements on a timely basis in accordance with the Rules for Filing of Accounts and Treatment of Default Filing, Rulebook of The Exchange (Issuers' Rules). The Exchange has identified the companies listed on Schedule 2 as companies that have met the minimum listing standards in terms of timely disclosure of their annual and quarterly financial statements.

The Exchange is extremely proud of these companies and will continue to showcase listed companies that imbibe high corporate governance practices.

### 2020 AUDITED FINANCIAL STATEMENTS

S/N	NAME OF COMPANY	YEAR END	DUE DATE	DATE FILED
1.	Airtel Africa Plc	31 March 2020	29 June 2020	13 May 2020
2.	Guinness Nigeria Plc	30 June 2020	28 September 2020	28 August 2020
3.	Austin Laz & Company Plc	31 December 2020	31 March 2021	30 January 2021
4.	Nigerian Breweries Plc	31 December 2020	31 March 2021	19 February 2021
5.	United Capital Plc.	31 December 2020	31 March 2021	22 February 2021
6.	Zenith Bank Plc	31 December 2020	31 March 2021	23 February 2021

### 2019 AUDITED FINANCIAL STATEMENTS

S/N	NAME OF COMPANY	YEAR END	DUE DATE	DATE FILED
1.	Guinness Nigeria Plc	30 June 2019	28 September 2019	29 August 2019
2.	Infinity Trust Mortgage Bank Plc	31 December 2019	30 March 2020	5 February 2020
3.	Nigerian Breweries Plc	31 December 2019	30 March 2020	13 February 2020
4.	United Capital Plc	31 December 2019	31 March 2020	18 February 2020

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5.	Austin Laz & Company Plc	31 December 2019	31 March 2020	20 February 2020
6.	Zenith Bank Plc	31 December 2019	31 March 2020	21 February 2020
7.	Dangote Cement Plc	31 December 2019	31 March 2020	26 February 2020
8.	Tantalizers Plc	31 December 2019	31 March 2020	27 February 2020
9.	Custodian Investment Plc	31 December 2019	31 March 2020	28 February 2020
10.	Transcorp Hotels Plc	31 December 2019	31 March 2020	28 February 2020
11.	Transnational Corporation of Nigeria Plc	31 December 2019	31 March 2020	28 February 2020
12.	United Bank for Africa Plc	31 December 2019	31 March 2020	28 February 2020
13.	MTN Nigeria Communications Plc	31 December 2019	31 March 2020	28 February 2020
14.	Ardova Plc	31 December 2019	31 March 2020	28 February 2020

## 2020 FIRST QUARTER INTERIM FINANCIAL STATEMENTS

S/N	NAME OF COMPANY	QTR END	QTR	DUE DATE	DATE FILED
1.	Infinity Trust Mortgage Bank Plc	31 March 2020	First Quarter	30 April 2020	7 April 2020
2.	Africa Prudential Plc	31 March 2020	First Quarter	30 April 2020	16 April 2020

## 2020 SECOND QUARTER INTERIM FINANCIAL STATEMENTS

S/N	NAME OF COMPANY	QTR END	QTR	DUE DATE	DATE FILED
1.	Infinity Trust Mortgage Bank Plc	30 June 2020	Second Quarter	30 July 2020	1 July 2020
2.	United Capital Plc	30 June 2020	Second Quarter	30 July 2020	16 July 2020

## 2020 THIRD QUARTER INTERIM FINANCIAL STATEMENTS

S/N	NAME OF COMPANY	QTR END	QTR	DUE DATE	DATE FILED
1.	Infinity Trust Mortgage Bank Plc	30 September 2020	Third Quarter	30 October 2020	5 October 2020
2.	Austin Laz & Company Plc	30 September 2020	Third Quarter	30 October 2020	7 October 2020

3.	Union Dicon Salt Plc	30 September 2020	Third Quarter	30 October 2020	15 October 2020
4.	Secure Electronic Technologies Plc	30 September 2020	Third Quarter	30 October 2020	16 October 2020

### SCHEDULE 3: DELINQUENT FILERS OF AUDITED FINANCIAL STATEMENTS

The Exchange has identified the companies listed on Schedule 3 as companies that fell short of the minimum listing standards in terms of timely disclosure of their audited annual financial statements and have Missed Regulatory Filings (MRF) or are Awaiting Regulatory Approval (AWR) from their primary regulators.

The sanctions for non-compliance with periodic financial disclosure obligations are clearly spelt out in the Rules for Filing of Accounts and Treatment of Default Filing, Rulebook of The Exchange (Issuers' Rules).

AUDITED ANNUAL FINANCIAL STATEMENTS				
S/N	COMPANY	YEAR END	SYMBOL	REMARKS
1.	Roads Nigeria Plc	March	DIP	Non-Rendition of Audited Financial Statements for 2016 to 2020
2.	Nigerian German Chemical Plc	March	DIP	Non-Rendition of Audited Financial Statements for 2014 to 2020
3.	Thomas Wyatt Nigeria Plc	March	MRS	Non-Rendition of Audited Financial Statements for 2020
4.	Multi-Trex Integrated Foods Plc	April	BMR	Non-Rendition of Audited Financial Statements for 2015 to 2020
5.	DN Tyre & Rubber Plc	September	MRS	Non-Rendition of Audited Financial Statements for 2014 to 2020
6.	Deap Capital Management & Trust Plc	September	DWL	Non-Rendition of Audited Financial Statements for 2020
7.	Goldlink Insurance Plc	December	MRS	Non-Rendition of Audited Financial Statements for 2019 and 2020
8.	Capital Oil Plc	December	MRF	Non-Rendition of Audited Financial Statements for 2018 to 2020
9.	Resort Savings & Loans Plc	December	MRF	Non-Rendition of Audited Financial Statements for 2018 to 2020

AUDITED ANNUAL FINANCIAL STATEMENTS				
S/N	COMPANY	YEAR END	SYMBOL	REMARKS
10.	Standard Alliance Insurance Plc	December	MRF	Non-Rendition of Audited Financial Statements for 2019 and 2020
11.	Union Homes Savings & Loans Plc	December	MRS	Non-Rendition of Audited Financial Statements for 2014 to 2020
12.	Unic Diversified Holdings Plc	December	DIP	Non-Rendition of Audited Financial Statements for 2015 to 2020
13.	Evans Medical Plc	December	DIP	Non-Rendition of Audited Financial Statements for 2015 to 2020
14.	International Energy Insurance Plc	December	MRS	Non-Rendition of Audited Financial Statements for 2019 and 2020
15.	Aso Savings & Loans Plc	December	MRS	Non-Rendition of Audited Financial Statements for 2014 to 2020
16.	Staco Insurance Plc	December	MRF	Non-Rendition of Audited Financial Statements for 2018 to 2020
17.	Medview Airline Plc	December	BMF	Non-Rendition of Audited Financial Statements for 2019 and 2020
18.	Oando Plc	December	MRF	Non-Rendition of Audited Financial Statements for 2019 and 2020
19.	African Alliance Insurance Plc	December	MRF	Non-Rendition of Audited Financial Statements for 2020
20.	Afromedia Plc	December	MRF	Non-Rendition of Audited Financial Statements for 2020
21.	Briclinks Africa Plc	December	MRF	Non-Rendition of Audited Financial Statements for 2020
22.	C & I Leasing Plc	December	MRF	Non-Rendition of Audited Financial Statements for 2020
23.	Conoil Plc	December	MRF	Non-Rendition of Audited Financial Statements for 2020
24.	Cornerstone Insurance Plc	December	MRF	Non-Rendition of Audited Financial Statements for 2020

AUDITED ANNUAL FINANCIAL STATEMENTS				
S/N	COMPANY	YEAR END	SYMBOL	REMARKS
25.	Coronation Insurance Plc	December	MRF	Non-Rendition of Audited Financial Statements for 2020
26.	Guinea Insurance Plc	December	MRF	Non-Rendition of Audited Financial Statements for 2020
27.	Japaul Gold & Ventures Plc	December	MRF	Non-Rendition of Audited Financial Statements for 2020
28.	Lasaco Assurance Plc	December	MRF	Non-Rendition of Audited Financial Statements for 2020
29.	Mutual Benefits Assurance Plc	December	MRF	Non-Rendition of Audited Financial Statements for 2020
30.	Niger Insurance Plc	December	MRF	Non-Rendition of Audited Financial Statements for 2020
31.	NPF Microfinance Bank Plc	December	MRF	Non-Rendition of Audited Financial Statements for 2020
32.	R.T Briscoe (Nigeria) Plc	December	MRF	Non-Rendition of Audited Financial Statements for 2020
33.	Royal Exchange Plc	December	MRF	Non-Rendition of Audited Financial Statements for 2020
34.	Smart Products Nigeria Plc	December	MRF	Non-Rendition of Audited Financial Statements for 2020
35.	Tantalizers Plc	December	MRF	Non-Rendition of Audited Financial Statements for 2020
36.	The Tourist Company of Nigeria Plc	December	DIP	Non-Rendition of Audited Financial Statements for 2020
37.	Unity Bank Plc	December	MRF	Non-Rendition of Audited Financial Statements for 2020
38.	Universal Insurance Plc	December	MRF	Non-Rendition of Audited Financial Statements for 2020
39.	Wema Bank Plc	December	MRF	Non-Rendition of Audited Financial Statements for 2020

AUDITED ANNUAL FINANCIAL STATEMENTS				
S/N	COMPANY	YEAR END	SYMBOL	REMARKS
40.	Juli Plc	December	MRF	Non-Rendition of Audited Financial Statements for 2020

#### SCHEDULE 4: DELINQUENT FILERS OF QUARTERLY FINANCIAL STATEMENTS

Companies listed on The Exchange are required to file their quarterly accounts within 30 days after the end of the quarter in accordance with the Rules for Filing of Accounts and Treatment of Default Filing, Rulebook of The Exchange (Issuers' Rules). Details of the quarterly filings can be downloaded from the released financials on the website.

The sanctions for non-compliance with periodic financial disclosure obligations are clearly spelt out in the Rules for Filing of Accounts and Treatment of Default Filing, Rulebook of The Exchange (Issuers' Rules). Schedule 4 shows details of companies that have defaulted in their quarterly filings with The Exchange.

QUARTERLY FINANCIAL STATEMENTS				
S/N	COMPANY	Year End	SYMBOL	REMARKS
1.	Aso Savings & Loans Plc	December	MRS	Non-Rendition of Quarterly Financial Statements for 2014 to 2020
2.	Capital Oil Plc	December	MRF	Non-Rendition of Quarterly Financial Statements for 2019 to 2020
3.	DN Tyre & Rubber Plc	September	MRS	Non-Rendition of Quarterly Financial Statements for Q3 2015 to 2020
4.	Evans Medical Plc	December	DIP	Non-Rendition of Quarterly Financial Statements for 2016 to 2020
5.	Goldlink Insurance Plc	December	MRS	Non-Rendition of Quarterly Financial Statements for 2019 to 2020
6.	International Energy Insurance Plc	December	MRS	Non-Rendition of Quarterly Financial Statements for 2018 to 2020
7.	Juli Plc	December	MRF	Non-Rendition of Quarterly Financial Statements for 2020
8.	Resort Savings & Loans Plc	December	MRF	Non-Rendition of Quarterly Financial Statements for 2019 to 2020
9.	Smart Products Nigeria Plc	December	MRF	Non-Rendition of Quarterly Financial Statements for 2018 to 2020



QUARTERLY FINANCIAL STATEMENTS				
S/N	COMPANY	Year End	SYMBOL	REMARKS
10.	Staco Insurance Plc	December	MRF	Non-Rendition of Quarterly Financial Statements for 2019 to 2020
11.	Standard Alliance Insurance Plc	December	MRF	Non-Rendition of Quarterly Financial Statements for 2020
12.	Unic Diversified Holding Plc	December	DIP	Non-Rendition of Quarterly Financial Statements for 2015 to 2020
13.	Union Homes Savings & Loans Plc	December	MRS	Non-Rendition of Quarterly Financial Statements for 2015 to 2020
14.	Nigerian German Chemical Plc	March	DIP	Non-Rendition of Quarterly Financial Statements for Q3 2014 to 2020
15.	Roads Nigeria Plc	March	DIP	Non-Rendition of Quarterly Financial Statements for 2014 to 2020
16.	Multi-Trex Integrated Foods Plc	April	BMR	Non-Rendition of Quarterly Financial Statements for 2014 to 2021
17.	Medview Airline Plc	December	BMF	Non-Rendition of Quarterly Financial Statements for Q1 to Q3 2020
18.	Oando Plc	December	MRF	Non-Rendition of Quarterly Financial Statements for Q1 to Q3 2020
19.	Thomas Wyatt Nigeria Plc	March	MRS	Non-Rendition of Quarterly Financial Statements for Q1 to Q3 2020

**PART B:  
ENFORCEMENT  
ACTIONS**

## SCHEDULE 5: DISCLOSURE VIOLATIONS

### UNAUTHORISED PUBLICATIONS, NON-DISCLOSURE OF MATERIAL INFORMATION, ETC

Every listed company is required to provide The Exchange with timely information to enable it efficiently perform its function of maintaining an orderly market. In accordance with the provisions of Appendix III: General Undertaking (Equities), Rulebook of The Exchange, 2015 (Issuers' Rules) and The Exchange's Circular No. NSE/LARD/LRD/CIR3/17/05/12 on Publication of Announcements or Press Releases via The Issuers' Portal, listed companies are required to obtain prior written approval from The Exchange before publications that affect shareholders' interest are made in the media or via the Issuers' Portal.

In addition, companies are also required to disclose material information to The Exchange and publish the information in their Annual Reports.

The companies listed in Schedule 5 breached certain provisions of the Listings Rules and were sanctioned accordingly.

NAME OF COMPANIES	NATURE OF BREACH	SANCTIONS (₦)
<b>2020</b>		
Access Bank Plc	Unauthorized Publication – Notice of Board Meeting and Closed Period	2,205,000.00
Union Bank Plc	Non-Disclosure of Material Information	MCT <sup>1</sup>
Mutual Benefits Assurance Plc	Unauthorized Publication – Notice of Annual General Meeting	248,062.50
Deap Capital Management & Trust Plc	Unauthorized Publication – Notice of 11 <sup>th</sup> Annual General Meeting	<del>₦</del> 446,512.50
<b>2019</b>		
Access Bank Plc	Non-disclosure of resolutions passed at Board Meeting	4,410,000.00
Diamond Bank Plc	Non-disclosure of resolutions passed at Board Meeting	3,234,000.00
First Aluminium Nigeria Plc	Non-dispatch of the Notice of AGM and Annual Reports to shareholders twenty-one (21) days before the date of the meeting	476,280.00

<sup>1</sup> The Bank is to undergo a Mandatory Compliance Training (MCT)

## SCHEDULE 6: SANCTIONS FOR DEFAULT FILINGS OF FINANCIAL STATEMENTS

The information published in Schedule 6 are the names of companies that filed their Audited and Interim Financial Statements after the regulatory due date. The Exchange applied sanctions in accordance with the Rules for Filing of Accounts and Treatment of Default Filing, Rulebook of The Exchange (Issuers' Rules).

2021 DEFAULT FILINGS					
DEFAULT FILINGS OF AUDITED/INTERIM ACCOUNTS					
S/N	NAME OF COMPANIES	FINANCIAL	PERIOD END	DATE FILED	PENALTY (₦)
1.	Notore Chemicals Industries Plc	Audited 2020	September	31-Dec-20	200,000.00
2.	Vitafoam Nigeria Plc	Audited 2020	September	31-Dec-20	200,000.00
3.	Juli Plc	Audited 2015	December	22-Dec-20	24,600,000.00
		Audited 2016	December	22-Dec-20	19,400,000.00
		Audited 2017	December	23-Dec-20	14,300,000.00
		Audited 2018	December	23-Dec-20	90,000,000.00
		Audited 2019	December	23-Dec-20	2,900,000.00
4.	Greif Nigeria Plc	Audited 2020	October	4-Feb-21	500,000.00
5.	Omatek Ventures Plc.	Audited 2015	December	27-Sep-19	18,200,000.00
		Audited 2016	December	8-Oct-19	299,400,000.00
		Audited 2017	December	18-Oct-19	182,200,000.00
		Audited 2018	December	21-Oct-19	37,400,000.00

2020 DEFAULT FILINGS					
DEFAULT FILINGS OF AUDITED/INTERIM ACCOUNTS					
S/N	NAME OF COMPANIES	FINANCIAL	PERIOD END	DATE FILED	PENALTY (₦)
1.	Greif Nigeria Plc	Audited 2019	October	3-Feb-20	500,000.00
2.	Deap Capital Management & Trust Plc	Audited 2019	September	5-Feb-20	3,800,000.00
3.	Thomas Wyatt Nigeria Plc	3 <sup>rd</sup> Quarter 2019	December	6-Feb-20	700,000.00

2020 DEFAULT FILINGS					
DEFAULT FILINGS OF AUDITED/INTERIM ACCOUNTS					
S/N	NAME OF COMPANIES	FINANCIAL	PERIOD END	DATE FILED	PENALTY (₦)
4.	Ellah Lakes Plc	2 <sup>nd</sup> Quarter 2020	January	1-Mar-20	200,000.00
5.	Deap Capital Management & Trust Plc	2 <sup>nd</sup> Quarter 2020	March	16-Jul-20	1,700,000.00
6.	African Alliance Insurance Plc	Audited 2019	December	31-Jul-20	3,200,000.00
7.	Universal Insurance Plc	Audited 2019	December	31-Jul-20	3,200,000.00
8.	Conoil Plc	2 <sup>nd</sup> Quarter 2020	June	4-Aug-20	400,000.00
9.	African Alliance Insurance Plc	1 <sup>st</sup> Quarter 2020	December	24-Jul-20	2,500,00.00
		2 <sup>nd</sup> Quarter 2020	December	6-Aug-20	400,00.00
10.	Universal Insurance Plc	1 <sup>st</sup> Quarter 2020	December	31-Jul-20	3,200,00.00
		2 <sup>nd</sup> Quarter 2020	December	6-Aug-20	400,00.00
11.	LASACO Assurance Plc	Audited 2019	December	14-Aug-20	4,400,00.00
		1 <sup>st</sup> Quarter 2020	December	14-Aug-20	4,400,00.00
		2 <sup>nd</sup> Quarter 2020	December	18-Aug-20	1,700,00.00
12.	eTranzact International Plc	2 <sup>nd</sup> Quarter 2020	December	20-Aug-20	1,900,00.00
13.	Royal Exchange Plc	Audited 2019	December	25-Aug-20	5,400,00.00
		1 <sup>st</sup> Quarter 2020	December	25-Aug-20	5,400,00.00
		2 <sup>nd</sup> Quarter 2020	December	27-Aug-20	2,600,00.00
14.	R.T. Briscoe (Nigeria) Plc	Audited 2019	December	21-Sept-20	8,300,00.00
		1 <sup>st</sup> Quarter 2020	December	23-Sept-20	8,500,00.00
		2 <sup>nd</sup> Quarter 2020	December	23-Sept-20	5,300,00.00
15.	Union Dicon Salt Plc	Audited 2019	December	30-Sept-20	9,200,000.00
		1 <sup>st</sup> Quarter 2020	December	15-Oct-20	10,600,000.00
		2 <sup>nd</sup> Quarter 2020	December	15-Oct-20	7,700,000.00
16.	Interlinked Technologies Plc	Audited 2020	June	29-Sept-20	100,000.00

2020 DEFAULT FILINGS					
DEFAULT FILINGS OF AUDITED/INTERIM ACCOUNTS					
S/N	NAME OF COMPANIES	FINANCIAL	PERIOD END	DATE FILED	PENALTY (₦)
17.	PZ Cussons Nigeria Plc	Audited 2020	May	3-Oct-20	400,000.00
		1 <sup>st</sup> Quarter 2020	May	3-Oct-20	300,000.00
18.	FTN Cocoa Processors Plc	Audited 2019	December	29-Jun-20	18,000,000.00
		1 <sup>st</sup> Quarter 2020	December	29-Jun-20	20,000,000.00
		2 <sup>nd</sup> Quarter 2020	December	30-Jul-20	11,800,000.00
		3 <sup>rd</sup> Quarter 2020	December	20-Nov-20	500,000.00
19.	Niger Insurance Plc	Audited 2019	December	7-Dec-20	22,200,200.00
		1 <sup>st</sup> Quarter 2020	December	7-Dec-20	23,200,000.00
		2 <sup>nd</sup> Quarter 2020	December	7-Dec-20	17,200,000.00
		3 <sup>rd</sup> Quarter 2020	December	8-Dec-20	1,800,000.00
20.	Notore Chemicals Industries Plc	Audited 2020	September	31-Dec-20	200,000.00
21.	Vitafoam Nigeria Plc	Audited 2020	September	31-Dec-20	200,000.00

2019 DEFAULT FILINGS					
DEFAULT FILINGS OF AUDITED/INTERIM ACCOUNTS					
S/N	NAME OF COMPANIES	FINANCIAL	PERIOD END	DATE FILED	PENALTY (₦)
1.	Greif Nigeria Plc	Audited 2018	October	6-Feb-19	800,000.00
2.	Union Bank Nigeria Plc	Audited 2018	December	2-Apr-19	200,000.00
3.	Afromedia Plc	Audited 2018	September	3-Apr-19	400,000.00
4.	Conoil Plc	Audited 2018	December	4-Jul-19	400,000.00
5.	Lasaco Assurance Plc	Audited 2018	December	15-Jul-19	1,100,000.00
6.	Flour Mills of Nigeria Plc	Audited 2019	March	16-Jul-19	1,200,000.00
7.	Lasaco Assurance Plc	1 <sup>st</sup> Quarter 2019	March	1-Aug-19	300,000.00
8.	Universal Insurance Plc	Audited 2018	December	6-Aug-19	3,600,000.00

2019 DEFAULT FILINGS					
DEFAULT FILINGS OF AUDITED/INTERIM ACCOUNTS					
S/N	NAME OF COMPANIES	FINANCIAL	PERIOD END	DATE FILED	PENALTY (₦)
		1 <sup>st</sup> Quarter 2019	March	6-Aug-19	700,000.00
		2 <sup>nd</sup> Quarter 2019	June	8-Aug-19	700,000.00
9.	Thomas Wyatt Nigeria Plc	Audited 2019	March	22-Jul-19	2,200,000.00
		1 <sup>st</sup> Quarter 2019	June	6-Aug-19	500,000.00
10.	Royal Exchange Plc	Audited 2019	March	28-Aug-19	5,900,000.00
		1 <sup>st</sup> Quarter 2019	June	28-Aug-19	3,000,000.00
11.	Access Bank Plc	2 <sup>nd</sup> Quarter 2019	June	5-Sept-19	700,000.00
12.	R. T. Briscoe Plc	Audited 2018	December	18-Sept-19	7,500,000.00
		1 <sup>st</sup> Quarter 2019	March	18-Sept-19	19,000,000.00
		2 <sup>nd</sup> Quarter 2019	June	18-Sept-19	4,800,000.00
13.	Guinea Insurance Plc	Audited 2018	December	20-Sept-19	8,200,000.00
		1 <sup>st</sup> Quarter 2019	March	20-Sept-19	5,600,000.00
		2 <sup>nd</sup> Quarter 2019	June	20-Sept-19	5,400,000.00
14.	Niger Insurance Plc	Audited 2018	December	24-Sept-19	8,600,000.00
		1 <sup>st</sup> Quarter 2019	March	24-Sept-19	5,700,000.00
		2 <sup>nd</sup> Quarter 2019	June	24-Sept-19	5,500,000.00
15.	Interlinked Technologies Plc	Audited 2019	June	3-Oct-19	200,000.00
16.	Anino International Plc	Audited 2015 - 2018	December	18-Oct-19	41,100,000.00
17.	Notore Chemicals Industries Plc	Audited 2019	September	31-Dec-19	200,000.00
18.	Vitafoam Nigeria Plc	Audited 2019	September	31-Dec-19	200,000.00

## SCHEDULE 7: FREE FLOAT DEFICIENCIES

Companies listed on The Exchange are required to maintain a minimum free float for the set standards under which they are listed in order to ensure that there is an orderly and liquid market for their securities. Listed below are the free float requirements for companies listed on the various Board of The Exchange:

- For Growth Board, a minimum of 10% of the issued and fully paid up shares or the value of its free float is equal to or above ₦50 million, for entry segment.
- For Growth Board, a minimum of 15% of the issued and fully paid up shares or the value of its free float is equal to or above ₦50 million, for standard segment.
- For ASeM, a minimum of 15% of issued and fully paid up shares or the value of its free float is equal to or above ₦50 million
- For Main Board, a minimum of 20% of the issued and fully paid up shares or the value of its free float is equal to or above ₦20 billion
- For Premium Board, a minimum of 20% of issued and fully paid up shares or the value of its free float is equal to or above ₦40 billion.

The following companies listed in Schedule 7 have free float deficiencies and have applied for waivers from the Regulation Committee of the National Council of The Exchange (RegCom), and have specifically provided compliance plans with tentative timelines to support their requests.

The RegCom considered and approved an extended timeframe for the companies to regain compliance with the listings requirement. The companies are however required to also provide quarterly disclosure reports to The Exchange detailing their level of implementation of the compliance plans.

The names of the companies in this category are as follows:

COMPANIES WITH FREE FLOAT DEFICIENCIES					
S/N	ISSUER	% OF FREE FLOAT	CSI	FREE FLOAT VALUE (₦)	COMPLIANCE DUE DATE
1.	Infinity Trust Mortgage Bank Plc	0.93	BLS	52,789,999.36	17 May 2021
2.	The Tourist Company of Nigeria Plc	1.75	DIP	111,359,308.2	N/A <sup>2</sup>
3.	Capital Hotels Plc	13.14	BLS	447,602,038.40	16 August 2021
4.	Transcorp Hotels Plc	5.47	BLS	1,351,655,175.00	18 May 2020
5.	Notore Chemical Industries Plc	10.02	BLS	10,091,796,375	15 June 2022
6.	Union Bank of Nigeria Plc	8.32	BLS	12,836,737,906.00	18 May 2020



COMPANIES WITH FREE FLOAT DEFICIENCIES					
S/N	ISSUER	% OF FREE FLOAT	CSI	FREE FLOAT VALUE (₦)	COMPLIANCE DUE DATE
7.	Golden Guinea Breweries Plc	10.27	BRS	83,436,990.30	N/A <sup>2</sup>
8.	Ellah Lakes Plc	14.55	BLS	1,236,152,781.50	31 December 2019
9.	Ekocorp Plc	12.64	BLS	378,059,682.00	31 October 2017 <sup>2</sup>
10.	Medview Airline Plc	14.16	BLS	2,236,866,462.54	N/A <sup>2</sup>
11.	Portland Paints & Products Nigeria Plc	13.92	BLS	323,580,427.58	16 August 2020
12.	CWG Plc	15.97	BLS	1,024,021,749.26	16 August 2021
13.	Aluminium Extrusion Industries Plc	16.27	BLS	289,844,414.10	N/A <sup>2</sup>
14.	Caverton Offshore Support Group Plc	19.92	BLS	1,301,472,007.29	16 August 2021
15.	Union Dicon Salt Plc	18.00	BMR	538,764,966.00	N/A <sup>2</sup>
16.	eTranzact International Plc	17.82	BLS	1,684,388,371.50	7 December 2020
17.	Champion Breweries Plc	5.23	BLS	921,335,996.40	16 August 2021
18.	Prestige Assurance Plc	15.46	BLS	900,861,863.00	N/A <sup>2</sup>
19.	Austin Laz & Company Plc	19.36	BLS	424,363,380.00	N/A <sup>2</sup>
20.	Arbico Plc	19.96	BLS	30,531,919.20	15 June 2023
21.	International Breweries Plc	11.06	BLS	15,156,305,148.90	N/A <sup>2</sup>
22.	Abbey Mortgage Bank Plc	11.35	BLS	770,099,163.75	N/A <sup>2</sup>
23.	LivingTrust Mortgage Bank Plc	0.08	BLS	2,680,659.96	N/A <sup>2</sup>

<sup>2</sup> The Company has requested for additional extension which will be presented to the National Council for approval.

COMPANIES WITH FREE FLOAT DEFICIENCIES					
S/N	ISSUER	% OF FREE FLOAT	CSI	FREE FLOAT VALUE (₦)	COMPLIANCE DUE DATE
24.	UACN Property Development Company Plc	4.18	BLS	581,855,057.36	N/A <sup>2</sup>

## SCHEDULE 8: COMPANIES RESTRUCTURING AND/OR SLATED FOR DELISTING

The companies listed in Schedule 8 are either undergoing the Delisting Process (Regulatory/Voluntary) or Restructuring:

DELISTING IN PROCESS			
S/N	COMPANY	CSI	REMARK
1.	Evans Medical Plc	DIP	National Council has approved for The Exchange to proceed with the delisting process
2.	The Tourist Company of Nigeria Plc	DIP	National Council has approved for The Exchange to proceed with the delisting process
3.	Nigerian German Chemicals Plc	DIP	National Council has approved for The Exchange to proceed with the delisting process
4.	Roads Nigeria Plc	DIP	National Council has approved for The Exchange to proceed with the delisting process
5.	Unic Diversified Holdings Plc	DIP	National Council has approved for The Exchange to proceed with the delisting process

DELISTING WATCHLIST			
S/N	COMPANY	CSI	REMARK
1.	Omatek Ventures Plc	DWL	The National Council has approved the Company to be placed on delisting watch-list subject to filing Quarterly Compliance Report
2.	Deap Capital Management & Trust Plc	DWL	The National Council has approved the Company to be placed on delisting watch-list subject to filing Quarterly Compliance Report

RESTRUCTURING			
S/N	COMPANY	CSI	REMARK
1.	Aso Savings & Loans Plc	MRS	The National Council has approved the Company to be placed on restructuring status subject to filing of Quarterly Compliance Report
2.	FTN Cocoa Processors Plc	MRS	The National Council has approved the Company to be placed on restructuring status subject to filing of Quarterly Compliance Report
3.	Goldlink Insurance Plc	MRS	The National Council has approved the Company to be placed on restructuring status subject to filing of Quarterly Compliance Report
4.	International Energy Insurance Plc	MRS	The National Council has approved the Company to be placed on restructuring status subject to filing of Quarterly Compliance Report
5.	Thomas Wyatt Nigeria Plc	MRS	The National Council has approved the Company to be placed on restructuring status subject to filing of Quarterly Compliance Report
6.	Union Dicon Salt Plc	BMR	The National Council has approved the Company to be placed on restructuring subject to filing Quarterly Compliance Report
7.	Union Homes Savings & Loans Plc	MRS	The National Council has approved the Company to be placed on restructuring status subject to filing of Quarterly Compliance Report
8.	DN Tyre & Rubber Plc	MRS	The National Council has approved the Company to be placed on restructuring status subject to filing of Quarterly Compliance Report
9.	Multi-Trex Integrated Foods Plc	BMR	The National Council has approved the Company to be placed on restructuring status subject to filing of Quarterly Compliance Report

## Schedule 9: Regulatory Suspensions

The companies listed in Schedule 9 were suspended pursuant to the provisions of Rule 3.1, Rules for Filing of Accounts and Treatment of Default Filing, Rulebook of The Exchange (Issuers' Rules), which provides that: *"If an Issuer fails to file the relevant accounts by the expiration of the Cure Period, The Exchange will:*

- send to the Issuer a "Second Filing Deficiency Notification" within two (2) business days after the end of the Cure Period;*
- suspend trading in the Issuer's securities; and*
- notify the Securities and Exchange Commission (SEC) and the Market within twenty-four (24) hours of the suspension."*

Suspensions - 2021				
S/N	Name of Issuer	Year End	Date of Suspension	Reasons
1.	Thomas Wyatt Nigeria Plc	March	6-Jan-2021	Failure to file the relevant accounts by the expiration of the Cure Period

Suspensions - 2020				
S/N	Name of Issuer	Year End	Date of Suspension	Reasons
1.	Medview Airline Plc	December	1-Sept-20	Failure to file the relevant accounts by the expiration of the Cure Period
2.	Capital Oil Plc	December	1-Sept-20	Failure to file the relevant accounts by the expiration of the Cure Period

Suspensions - 2019				
S/N	Name of Issuer	Year End	Date of Suspension	Reasons
1.	Goldlink Insurance Plc	December	2-Jul-19	Failure to file the relevant accounts by the expiration of the Cure Period
2.	Resort Savings & Loans Plc	December	2-Jul-19	Failure to file the relevant accounts by the expiration of the Cure Period
3.	Standard Alliance Insurance Plc	December	2-Jul-19	Failure to file the relevant accounts by the expiration of the Cure Period

Suspensions - 2018				
S/N	Name of Issuer	Year End	Date of Suspension	Reasons
1.	Multi-Trex Integrated Foods Plc	April	1-Nov-18	Failure to file the relevant accounts by the expiration of the Cure Period
2.	International Energy Insurance Plc	December	8-Oct-18	Failure to file the relevant accounts by the expiration of the Cure Period
3.	Unic Diversified Holdings Plc	December	8-Oct-18	Failure to file the relevant accounts by the expiration of the Cure Period
4.	DN Tyre & Rubber Plc	September	8-Oct-18	Failure to file the relevant accounts by the expiration of the Cure Period
5.	STACO Insurance Plc	December	5-Jul-18	Failure to file the relevant accounts by the expiration of the Cure Period

Suspensions - 2017				
S/N	Name of Issuer	Year End	Date of Suspension	Reasons
1.	Nigerian German Chemical Plc	March	4-Oct-17	Failure to file the relevant accounts by the expiration of the Cure Period
2.	Roads Nigeria Plc	March	4-Oct-17	Failure to file the relevant accounts by the expiration of the Cure Period
3.	Aso Savings & Loans Plc	December	5-Jul-17	Failure to file the relevant accounts by the expiration of the Cure Period
4.	Evans Medical Plc	December	5-Jul-17	Failure to file the relevant accounts by the expiration of the Cure Period
5.	Union Homes Savings & Loans Plc	December	5-Jul-17	Failure to file the relevant accounts by the expiration of the Cure Period

**PART C:  
LISTINGS REPORT**

## SCHEDULE 10: LISTINGS REPORT (Applications, Listings, Delistings and Capital Restructuring)

### APPROVED APPLICATIONS IN 2021

ISSUER/APPLICANT	TYPE OF APPLICATION	STOCK BROKER(S)	ISSUING HOUSE(S)/FINANCIAL ADVISER(S)	DATE APPROVED	NARRATION
FBNQuest Merchant Bank SPV Funding Plc	Corporate Bond	FBNQuest Securities Limited	Coronation Merchant Bank Limited and UCML Capital Limited	31 March 2021	Application for the listing of FBNQMB SPV Funding Plc's N8 Billion 6.25% Series II Subordinated Unsecured Bond Due 2030 Under the N50 Billion Bond Issuance Program
11 Plc	Voluntary Delisting	Cordros Securities Limited	Cordros Capital Limited	31 March 2021	Application by 11 Plc to Voluntarily Delist its entire 360,595,261 ordinary shares from the Daily Official List of The Nigerian Stock Exchange
CardinalStone Financing SPV Plc:	Corporate Bond	CardinalStone Securities Limited	Chapelhill Denharm Advisory Limited; FBNQuest Merchant Bank Limited; FCMB Capital Markets Limited; and FSDH Capital Limited	10 March 2021	Application for the Listing of N5 Billion Series One (1) 5 Years 7% Fixed Rate Bonds Due 2025 Under the CardinalStone Financing SPV Plc's N10 Billion Debt Issuance Program.
Fidelity Bank Plc	Corporate Bond	FSL Securities Limited	Planet Capital Limited; Absa Capital Markets Nigeria Limited; Afrinvest West Africa Limited; Cordros Capital Markets Limited; Cowry Asset Management Limited; FCMB Capital Markets Limited; FSL Securities Limited; Future View Financial Services Limited; Kairos Capital Limited; Renaissance	4 March 2021	Application for Listing of N41,213,000,000.00 10-Year 8.5% Subordinated Unsecured Fixed Rate Series One (1) Bonds Under the N100 Billion Fidelity Bank Plc's Debt Issuance Program

			Securities Nigeria Limited; Stanbic IBTC Capital Limited; United Capital Plc; UCML Capital Limited; and Vetiva Capital Management Limited		
TSL SPV Plc	Corporate Bond	ARM Securities Limited	Stanbic IBTC Capital Limited and ARM Securities Limited	15 February 2021	Approval for Listing by Introduction of N12 Billion 10-Year 10% Series 1 Senior Guaranteed Fixed Rate Infrastructure Bond Due 2030 under the N50 Billion TSL SPV Plc Bond Issuance Programme
Emzor Pharma Funding SPV Plc	Corporate Bond	RenCap Securities (Nigeria) Limited	Renaissance Securities (Nigeria) Limited; and Afrinvest (West Africa) Limited	8 February 2021	Application for Listing by Introduction of N13.729 Billion 5 Year 10.00% Senior Unsecured Fixed Rate Series 1 Bond Under the N50 Billion Bond Issuance Program
BUA Cement Plc	Corporate Bond	APT Securities and Funds Limited and Stanbic IBTC Stockbrokers Limited	Stanbic IBTC Capital Limited; UCML Capital Limited; and Tiddo Securities Limited	28 January 2021	Application to approve and list BUA Cement Plc's N115,000,000,000 - 7 Years 7.50% Series 1 Bonds Due 2027 Under the N200,000,000,000 Bonds Issuance Program
Chemical and Allied Products Plc	Merger and Acquisition	Vetiva Securities Limited; and Chapel Hill Denham Securities Limited	Vetiva Capital Management Limited; and Chapel Hill Denham Advisory Limited	27 January 2021	Application to approve the proposed Scheme of Merger between Chemical and Allied Products Plc and Portland Paints and Products Nigeria Plc
Briclinks Africa Plc	Listing by Introduction	Afrinvest Securities Limited	Afrinvest (West Africa) Limited	21 January 2021	Listing by Introduction of 10,000,000 ordinary shares of N1 each at N6.26 per share on the



## X-COMPLIANCE REPORT

					Growth Board of The Nigerian Stock Exchange
Flourmills of Nigeria Plc	Corporate Bond	CSL Stockbrokers Limited	FCMB Capital Markets Limited; ARM Securities Limited; CardinalStone Partners Limited; Stanbic IBTC Capital Limited; FBNQuest Merchant Bank Limited; Coronation Merchant Bank Limited; and Quantum Zenith Capital & Investments Limited	12 January 2021	Application for approval and listing of Flourmills of Nigeria Plc's N25,000,000,000, 7 Years 6.25% Series 4 (Tranche B) Fixed Rate Senior Unsecured Bond Due 2027 Under the N70,000,000,000 Flour Mills of Nigeria Plc's Bond Issuance Program.
Flourmills of Nigeria Plc	Corporate Bond	CSL Stockbrokers Limited	FCMB Capital Markets Limited; ARM Securities Limited; CardinalStone Partners Limited; Stanbic IBTC Capital Limited; FBNQuest Merchant Bank Limited; Coronation Merchant Bank Limited; and Quantum Zenith Capital & Investments Limited	12 January 2021	Application for approval and listing of Flourmills of Nigeria Plc's N4,890,000,000, 5 Years 5.5% Series 4 (Tranche A) Fixed Rate Senior Unsecured Bond Due 2025 Under the N70,000,000,000 Flour Mills of Nigeria Plc's Bond Issuance Program.
Nigeria Mortgage Refinance Company Plc	Corporate Bond	DLM Securities Limited	DLM Advisory Partners	8 January 2021	Application to list N10,000,000,000 7 Year 7.20% Fixed Rate Series 3 Bonds Due 2027 Under the N440 Billion Medium Term Programme

NOVAMBL Investments SPV Plc	Bonds	United Capital Securities Limited	United Capital Plc	04 January 2021	Application to list N10,000,000,000 Series 1, 12% 7-Year Fixed Rate Subordinated Unsecured Bonds due 2027 under the N50,000,000,000 Bond Issuance Program by Offer for Subscription via Book Building.
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### New Listings in 2021

ISSUER	DATE LISTED	QUANTITY ADMITTED	UNIT PRICE (₦)	VALUE/MARKET CAP (₦)	DESCRIPTION
Federal Government of Nigeria	31 March 2021	764,910,039	1,000	764,910,039,000	<b>Supplementary:</b> Listing of additional 764,910,039 units of 16.2884% FGN MAR 2027 bond
Federal Government of Nigeria	31 March 2021	626,910,628	1,000	626,910,628,000	<b>Supplementary:</b> Listing of additional 626,910,628 units of 12.50% FGN MAR 2035 bond
Federal Government of Nigeria	31 March 2021	209,459,198	1,000	209,459,198,000	<b>Supplementary:</b> Listing of additional 209,459,198 units of 9.80% FGN JUL 2045 bond
Kogi State Government	26 March 2021	5,000,000	1,000	5,000,000,000	Relisting of the 15.00% KGB DEC 2020 bond to reflect the extended tenor of the bond from 2020 to 2023
Federal Government of Nigeria	24 March 2021	504,244	1,000	504,244,000	<b>New:</b> Listing of 504,244 units of the 6.181% FGS MAR 2024 bond
Federal Government of Nigeria	24 March 2021	357,419	1,000	357,419,000	<b>New:</b> Listing of 357,419 units of the 5.181% FGS MAR 2023 bond
Federal Government of Nigeria	18 March 2021	162,557,000	1,000	162,557,000,000	<b>New:</b> Listing of the FGN Roads Sukuk Company 1 Plc's N162,557,000,000 7-Year 11.200% Ijarah Sukuk Due 2027
Federal Government of Nigeria	4 March 2021	85,619,379	1,000	85,619,379,000	<b>Supplementary:</b> Listing of additional 85,619,379 units of 16.2884% FGN MAR 2027 bond

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Federal Government of Nigeria	4 March 2021	98,902,000	1,000	98,902,000,000	<b>Supplementary:</b> Listing of additional 98,902,000 units of 12.50% FGN MAR 2035 bond
Federal Government of Nigeria	4 March 2021	18,029,501	1,000	18,029,501,000	<b>Supplementary:</b> Listing of additional 18,029,501 units of 9.80% FGN JUL 2045 bond
TSL SPV Plc	26 February 2021	12,000,000	1,000	12,000,000,000	<b>New:</b> Listing of TSL SPV Plc's N12 Billion 10-Year 10% Series 1 Senior Guaranteed Fixed Rate Infrastructure Bond Due 2030 under the N50 Billion TSL SPV Plc Bond Issuance Programme
Lagos State Government	22 February 2021	100,000,000	1,000	100,000,000,000	<b>New:</b> Memorandum Listing of the Lagos State Government's N100 Billion 10-Year Series III 12.25% Fixed Rate Bond Due 2030 under the N500 Billion Bond Issuance Programme
SUNU Assurances Nigeria Plc	19 February 2021	3,010,800,000	1	3,010,800,000	<b>Supplementary:</b> Listing of 3,010,800,000 ordinary shares of 50 Kobo each of SUNU Assurance Plc arising from Private Placement to SUNU Participations Holdings SA and SUNU Assurances Vie Cote D'ivoire SA at N1.00 per share
Federal Government of Nigeria	19 February 2021	673,120	1,000	673,120,000	<b>New:</b> Listing of 673,120 units of 4.214% FGS FEB 2023 bond
Federal Government of Nigeria	19 February 2021	1,124,825	1,000	1,124,825,000	<b>New:</b> Listing of 1,124,825 units of 5.214% FGS FEB 2024 bond
Federal Government of Nigeria	15 February 2021	70,901,500	1,000	70,901,500,000	<b>Supplementary:</b> Listing of additional 70,901,500 units of 16.2884% FGN MAR 2027 bond
Federal Government of Nigeria	15 February 2021	83,427,850	1,000	83,427,850,000	<b>Supplementary:</b> Listing of additional 83,427,850 units of 12.50% FGN MAR 2035 bond

## X-COMPLIANCE REPORT

Federal Government of Nigeria	15 February 2021	16,028,000	1,000	16,028,000,000	<b>Supplementary:</b> Listing of additional 16,028,000 units of 9.80% FGN JUL 2045 bond
Briclinks Africa Plc	5 February 2021	10,000,000	6.26	62,600,000	<b>New:</b> Listing by Introduction of Briclinks Africa Plc's 10,000,000 ordinary shares of N1.00 each at N6.26 per share on the Growth Board (Entry Segment) of The Exchange.
Federal Government of Nigeria	5 February 2021	18,140	1,000	18,140,000	<b>New:</b> Listing of 18,140 units of 2.19% FGS JAN 2023 on The Exchange.
Federal Government of Nigeria	5 February 2021	78,052	1,000	78,052,000	<b>New:</b> Listing of 78,052 units of 3.19% FGS JAN 2024 on The Exchange.
Flour Mills of Nigeria Plc	21 January 2021	25,000,000	1,000	25,000,000,000	<b>New:</b> Memorandum Listing of Flourmills of Nigeria Plc's N25,000,000,000 7 Years 6.25% Series 4 (Tranche B) Fixed Rate Senior Unsecured Bond Due 2027 Under the N70,000,000,000 Flour Mills of Nigeria Plc's Bond Issuance Program
Flour Mills of Nigeria Plc	21 January 2021	4,890,000	1,000	4,890,000,000	<b>New:</b> Memorandum Listing of Flourmills of Nigeria Plc's N4,890,000,000 5 Years 5.5% Series 4 (Tranche A) Fixed Rate Senior Unsecured Bond Due 2025 Under the N70,000,000,000 Flour Mills of Nigeria Plc's Bond Issuance Program
Transcorp Hotel Plc	18 January 2021	2,642,124,511	3.76	9,934,388,161	<b>Supplementary:</b> Listing of Transcorp Hotel Plc's Rights Issue of 2,642,124,511 ordinary shares of 50 kobo each at N3.76 kobo per share on the basis of seven (7) new ordinary share for every twenty (20)

## X-COMPLIANCE REPORT

					ordinary shares held as at close of business on 13 July 2020.  The Rights Issue was 99.34% subscribed.
Federal Government of Nigeria	06 January 2021	3,088	1,000	3,088,000	<b>New:</b> Listing of 3,088 units of 1.32% FGS DEC 2022 on The Exchange.
Federal Government of Nigeria	06 January 2021	48,417	1,000	48,417,000	<b>New:</b> Listing of 3,088 units of 1.82% FGS DEC 2023.
Federal Government of Nigeria	06 January 2021	20,000,000	1,000	20,000,000,000.00	<b>Supplementary:</b> Listing of additional 20,000,000 units of 12.50% FGN MAR 2035
Federal Government of Nigeria	06 January 2021	10,000,000	1,000	10,000,000,000.00	<b>Supplementary:</b> Listing of additional 10,000,000 units of 9.80% FGN JUL 2045

### DELISTINGS IN 2021

ISSUER/SECURITY	DATE DELISTED	SECTOR	QUANTITY DELISTED	PRICE (₦)	VALUE/MARCAP (₦)	DESCRIPTION
Federal Government of Nigeria	15 March 2021	Federal Government Bond	121,300	1,000	121,300,000	Maturity of the 11.746% FGNSB MAR 2021 bond
NEWGOLD Exchange Traded Fund (ETF)	15 March 2021	ETF	680,287	N/A	N/A	Redemption of 680,287 units of the NewGold ETF
Federal Government of Nigeria	14 March 2021	Federal Government Bond	62,581	1,000	62,581,000	Maturity of the 11.62% FGS MAR 2021 bond
Lagos State Government	10 March 2021	State Government Bond	6,911,000	1,000	6,911,000,000	Early redemption of the 15.60% LAB AUG 2024 bond
Lagos State Government	10 March 2021	State Government Bond	46,370,000	1,000	46,370,000,000	Early redemption of the 16.75% LAB AUG 2024 bond

Federal Government of Nigeria	15 February 2021	Federal Government Bond	202,196	1,000	202,196,000	Maturity of 11.277% FGNSB FEB 2021 bond
Federal Government of Nigeria	14 February 2021	Federal Government Bond	122,245	1,000	122,245,000	Maturity of 12.050% FGS FEB 2021 bond
African Development Bank	2 February 2021	Supra-National Bond	1,618,750	1,000	1,618,750,000	Maturity of the 11.25% ADB FEB 2021 bond
Federal Government of Nigeria	18 January 2021	Federal Government Bond	123,253	1,000	123,253,000	Maturity of the 12.098% FGNSB JAN 2021 bond
Federal Government of Nigeria	17 January 2021	Federal Government Bond	21,731	1,000	21,731,000	Maturity of the 12.125% FGS JAN 2021 bond
Nasarawa State	06 January 2021	State Government Bond	5,000,000	1,000	5,000,000,000	Maturity of the 15.00% NAS JAN 2021
Ekiti State Government	04 January 2021	State Government Bond	5,000,000	1,000	5,000,000,000	Maturity of the 14.50% EKI DEC 2020 bond
Kogi State Government	04 January 2021	State Government Bond	5,000,000	1,000	5,000,000,000	Maturity of the 15.00% KGB DEC 2020 bond

### SHARE RECONSTRUCTION IN 2021

S/N	ISSUER/APPLICANT	NARRATION	STOCKBROKER (S)	DATE LISTED
1.	LASACO Assurances Plc	Listing of 1,833,585,855 ordinary shares of 50 Kobo each at N1.68 per share pursuant to the share reconstruction of 7,334,343,421 ordinary shares of 50 Kobo in the ratio of one (1) new ordinary share of 50 Kobo each for every four (4) ordinary share of 50 Kobo each held by existing shareholders.	Signet Investments & Securities Limited	22 February 2021



## **PART D: OTHER INFORMATION**



## **SCHEDULE 11: GENERAL MEETINGS OF LISTED COMPANIES**

Companies listed on The Exchange are required to notify The Exchange of the details of their General Meetings (Annual, Extra-Ordinary or Court Ordered). Schedule 11 below gives information on the General Meetings holding during the period stated.



## General Meetings for April 2021

S/N	Company Name	Venue	Date	Time	Meeting Type
1.	United Bank of Africa Plc.	UBA House, 57 Marina, Lagos	1 April 2021	10.00 a.m.	AGM
2.	Guaranty Trust Bank Plc	Oriental Hotel, 3, Lekki Road, Victoria Island, Lagos	9 April 2021	10.00 a.m.	AGM
3.	Arдова Plc	Oduduwa Hall, Southern Sun Hotel, 47 Alfred Rewane Road, Ikoyi, Lagos	12 April 2021	10.00 a.m.	AGM
4.	Union Bank of Nigeria Plc	The Auditorium, Stallion Plaza (9 <sup>th</sup> Floor), 36, Marina, Lagos	13 April 2021	11.00 a.m.	AGM
5.	SCOA Nigeria Plc	The Company's Head Office, 157, Apapa/Oshodi Expressway, Isolo, Lagos	14 April 2021	11.00 a.m.	AGM
6.	UACN Property Development Company Plc.	UAC House, 1st Floor, 1-5, Odunlami Street, Lagos	19 April 2021	10.00 a.m.	AGM
7.	FCMB Group Plc	First City Plaza, 44 Marina, Lagos	21 April 2021	11.00 a.m.	AGM
8.	Nigerian Breweries Plc	Shell Nigeria Hall, Muson Centre, 8/9 Marina, Onikan, Lagos State	22 April 2021	10.00 a.m.	AGM
9.	Custodian Investment Plc	Civic Centre, Ozumba Mbadiwe Avenue, Victoria Island, Lagos	22 April 2021	10.00 a.m.	AGM
10.	Transnational Corporation of Nigeria Plc	Transcorp Hilton Hotel, 1 Aguiyi Ironsi Street, Maitama, Abuja, FCT	26 April 2021	10.00 a.m.	AGM
11.	Transcorp Hotels Plc	Transcorp Hilton Hotel, 1 Aguiyi Ironsi Street, Maitama, Abuja, FCT	26 April 2021	2.00 p.m.	AGM
12.	FBN Holdings Plc	Oriental Hotel, 3 Lekki-Epe Expressway, Victoria Island, Lagos	27 April 2021	10.00 a.m.	AGM
13.	Fidelity Bank Plc	Africa-Asia Meeting Room, Eko Hotel & Suites, Plot 1415 Adetokunbo Ademola Street, Victoria Island, Lagos	30 April 2021	10.00 a.m.	AGM
14.	Access Bank Plc	Access Towers, 14/15 Prince Alaba Oniru Street, Oniru Estate, Victoria Island, Lagos	30 April 2021	10.00 a.m.	AGM

## X-COMPLIANCE REPORT

### General Meetings for May 2021

S/N	Company Name	Venue	Date	Time	Meeting Type
1.	Axa Mansard Insurance Plc	Oriental Hotel, Lekki, Lagos	7 May 2021	10.00 a.m.	AGM
2.	Champion Breweries Plc	Industrial Layout, Aka Offot, Uyo, Akwa Ibom State	20 May 2021	12.00 Noon	AGM
3.	Seplat Petroleum Development Company Plc	16A Temple Road (Olu Holloway), Ikoyi, Lagos	20 May 2021	11.00 a.m.	AGM
4.	Berger Paints Nigeria Plc	The Company's Training Centre, 102 Oba Akran Avenue, Ikeja, Lagos	20 May 2021	10.00 a.m.	AGM
5.	Lafarge Africa Plc	Civic Centre, Ozumba Mbadiwe Road, Victoria Island, Lagos	25 May 2021	10.00 a.m.	AGM
6.	Dangote Cement Plc	Eko Hotel and Suites, Victoria Island, Lagos	26 May 2021	11.00 a.m.	AGM
7.	Stanbic IBTC Holdings Plc	I.B.T.C. Place, Walter Carrington Crescent, Victoria Island, Lagos	27 May 2021	10.00 a.m.	AGM
8.	Caverton Offshore Support Group Plc	Caverton Helipad, Ozumba Mbadiwe Street, Victoria Island, Lagos	27 May 2021	10.00 a.m.	AGM

### General Meetings for June 2021

S/N	Company Name	Venue	Date	Time	Meeting Type
1.	May & Baker Plc	Muson Centre, Onikan, Lagos	3 June 2021	11.00 a.m.	AGM
2.	Julius Berger Nigeria Plc	Shehu Musa Yar'Adua Center, No. 1, Memorial Drive, Abuja, Federal Capital Territory	17 June 2021	11.00 a.m.	AGM
3.	Nestle Nigeria Plc	The Head Office, 22-24, Industrial Avenue, Ilupeju, Lagos	22 June 2021	11.00 a.m.	AGM
4.	UAC of Nigeria Plc	12 <sup>th</sup> Floor, UAC House, 1-5 Odunlami Street, Lagos Island, Lagos	30 June 2021	10.00 a.m.	AGM